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		Application Number	10/582457	
TR	RANSMITTAL	Filing Date	JUNE 12,	2006
	FORM	First Named Inventor	STANLEY	E. CHARM
		Art Unit	•	
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	f Pages in This Submission	Attorney Docket Number	0656-027	US3
		ENCLOSURES (Check all	that apply	/)
Amendm A A Extension Express Information Certified Documer Reply to Incomple R	smittal Form  ee Attached  ent/Reply  fter Final  ffidavits/declaration(s)  n of Time Request  Abandonment Request  on Disclosure Statement  oages  Copy of Priority  nt(s)  Missing Parts/ te Application  eply to Missing Parts  nder 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A Terminal Disclaimer Request for Refund  CD, Number of CD(s)  Landscape Table on CD  Remarks	n Address	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  POSTCARD FOREIGN CITE NO: 1-4 NON PATENT LIT NO: 1-2  Express Mail Cert (1 page)
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Firm Name	CHARM SCIENCES, INC			
Signature	Reiler !	Jarg		
Printed name RICHARD J. LONG				
Date	SEPTEMBER 12, 2006	F	Reg. No.	48,252
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sufficient postage the date shown b	e as first class mail in an en	peing facsimile transmitted to the USPTopelope addressed to: Commissioner for	O or depos	sited with the United States Postal Service with P.O. Box 1450, Alexandria, VA 22313-1450 on
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Date



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stanley E. Charm et al

Docket No.

0656-027US3

Title:

Method, Device and System for Thermal Processing

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **EXPRESS MAIL CERTIFICATE**

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Date of Deposit: September 12, 2006

I hereby certify that the following attached paper(s) or fee:

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Information Disclosure Statement, Form PTO/SB/08A- (3 pages)
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Foreign Cites, No. 1-4
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known **Application Number** 10/582,457 Filing Date JUNE 12, 2006 STANLEY E. CHARM First Named Inventor Art Unit **Examiner Name** 0656-027US3 **Attorney Docket Number** 

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (# known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 6,917,022-B2	07-12-2005	Forschungszentrum Karlsruhe GmbH	
	2	<sup>US-</sup> 6,787,175-B2	09-07-2004	Good Karma Food Technologies, Inc.	
	3	<sup>US-</sup> 6,740,858-B2	05-25-2004	Communications & Power Ind., Inc.	
	4	<sup>US-</sup> 6,638,475-B1	10-28-2003	The Regents of the Univ of CA	
	5	<sup>US-</sup> 6,627,426-B2	09-30-2003	Invitrogen Corporation	
	6	<sup>US-</sup> 6,587,732-B1	07-01-2003	Kenneth L. Carr	
	7	<sup>US-</sup> 6,579,706-B2	06-17-2003	IB2, LLC	•
	8	<sup>US-</sup> 6,410,284-B1	06-25-2002	I. Belloch Corp.	
	9	<sup>US-</sup> 6,406,727-B1	06-18-2002	NC State Univ./Michael Foods Inc.	
	10	<sup>US-</sup> 6,344,229-B2	02-05-2002	Forschungszentrum Karlsruhe GmbH	
	11	<sup>US-</sup> 6,277,610-B1	08-21-2001	IB2, LLC	
	12	<sup>US-</sup> 6,268,200-B1	07-31-2001	Lambda Tech, Inc/Baxter Int., Inc.	
	13	<sup>US-</sup> 6,259,077-B1	07-10-2001	Industrial Microwave Systems, Inc.	
	14	<sup>US-</sup> 6,265,702-B1	07-24-2001	Industrial Microwave Systems, Inc.	
	15	<sup>US-</sup> 6,246,037-B1	06-12-2001	Industrial Microwave Systems, Inc.	
	16	<sup>US-</sup> 6,121,594	09-19-2000	Industrial Microwave Systems, Inc.	
	17	<sup>US-</sup> 6,087,642	07-11-2000	Industrial Microwave Systems, Inc.	
	18	<sup>US-</sup> 6,075,232	06-13-2000	Industrial Microwave Systems, Inc.	
	19	<sup>US-</sup> 5,976,592	11-02-1999	Officine Di Cartigliano, S.p.A.	

Examiner Initials*	Cite No.1	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	1	WO-96/11591	04-25-1996	Siefollah Nikdel et al		
	2	WO-95/00179	01-05-1995	Charm Sciences, Inc.		
	3	EP-0,497,099-B1	10-11-1995	Officine Di Cartigliano		
	4	GB-2,193,624-A	02-17-1988	APV International Limited		

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Examiner	Date	
Signature	Cons	sidered

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Complete if Known 10/582,457 **Application Number** JUNE 12, 2006 Filing Date STANLEY E. CHARM First Named Inventor Art Unit **Examiner Name** 0656-027US3

**U. S. PATENT DOCUMENTS** Pages, Columns, Lines, Where Examiner Cite **Publication Date** Name of Patentee or **Document Number** Relevant Passages or Relevant Initials\* No. MM-DD-YYYY **Applicant of Cited Document** Figures Appear Number-Kind Code<sup>2</sup> (# known) <sup>US-</sup> 5,998,774 20 12-07-1999 Industrial Microwave Systems, Inc. <sup>US-</sup> 5,962,288 10-05-1999 21 Belloch Corp. US-5,962,054 10-05-1999 Secretary of Agric, USA 22 09-28-1999 <sup>US-</sup> 5,958,275 Industrial Microwave Systems, Inc. 23 <sup>US-</sup> 5,914,255 06-22-1999 Joel B. Grae 24 <sup>US-</sup> 5,884,417 03-23-1999 Min. of Environment, Canada 25 12-08-1998 <sup>US-</sup> 5,846,583 Welch's Foods, Inc. 26 <sup>US-</sup> 5,840,851 11-24-1998 Plomer et al. 27 <sup>US-</sup> 5,708,259 Industrial Tech Rsch. Inst., Taiwan 01-13-1998 28 <sup>ÚS-</sup> 5,697,291 29 12-16-1997 Questron Inc/FL Dept of Citrus US- 5,672,316 09-30-1997 **Gunter Knapp** 30 <sup>US-</sup> 5,667,828 09-16-1997 Florida Dept. of Citrus 31 <sup>US-</sup> 5,665,869 09-09-1997 Somatogen, Inc. 32 us- 5,612,076 03-18-1997 NC State Univ/Michael Foods, Inc. 33 US- 5,514,389 05-07-1996 Florida Dept. of Citrus 34 US-5,503,064 04-02-1996 Custom Control Products, Inc. 35 <sup>US-</sup> 5,498,396 03-12-1996 **Abbott Laboratories** 36 <sup>US-</sup> 5,389,335 37 02-14-1995 Charm Sciences, Inc. US- 5,348,235 09-20-1994 Charles A. Pappas

		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Cite Initials* No.1		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ'
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Application Number	10/582,457			
Filing Date	JUNE 12, 2006			
First Named Inventor	STANLEY E. CHARM			
Art Unit				
Examiner Name				
Attorney Docket Number	0656-027US3			

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	39	<sup>US-</sup> 5,288,471	02-22-1994	Officine de Cartigliano, S.p.A.	
	40	<sup>US-</sup> 5,180,896	01-19-1993	University of Florida	
	41	<sup>US-</sup> 5,108,701	04-28-1992	CEM Corporation	
	42	<sup>US-</sup> 5,073,167	12-17-1991	M/A-Com, Inc.	
	43	<sup>US-</sup> 4,975,246	12-04-1990	Charm Sciences, Inc.	
	44	<sup>US-</sup> 4,839,142	06-13-1989	Charm Sciences, Inc.	
	45	<sup>US-</sup> 4,694,133	09-15-1987	Nestec, S.A.	
	46	<sup>US-</sup> 4,358,652	11-09-1982	Darrell R. Kaarup	
	47	<sup>US-</sup> Re. 30,780	10-20-1981	Lennart A. Stentstrom	Reissue of Patent No.3,814,889
	48	<sup>US-</sup> 3,092,503	06-04-1963	Oscar S. Gray	
	49	<sup>US-</sup> 2,833,657	05-06-1958	Raytheon Manufacturing Co.	
	50	<sup>US-</sup> 2,585,970	02-19-1952	Secretary of Agriculture, USA	•
	51	<sup>US-</sup> 2,517,569	08-08-1950	Erich Gustav Huzenlauab	
	52	<sup>US-</sup> 5,429,799	07-04-1995	Industrial Tech. Res. Inst. Taiwan	
· · ·	53	<sup>US-</sup> 5,403,564	04-04-1995	Helmut Katschnig	
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		FORE	<b>IGN PATENT DOCU</b>	MENTS		
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Proceedings of the Paper... Approved for use through 09/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known **Application Number** 10/582,457 INFORMATION DISCLOSURE Filing Date JUNE 12, 2006 STATEMENT BY APPLICANT **First Named Inventor** STANLEY E. CHARM **Art Unit** (Use as many sheets as necessary) **Examiner Name Attorney Docket Number** Sheet 0656-027US3 of

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	_	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Charm, Stanley E., Steven Landau, Bolanle Williams, Bernard Horowitz, Alfred M. Prince, and Donna Pascual, High Temperature Short-Time	
		Heat Inactivation of HIV and Other Viruses in Human Blood Plasma, Vox Sanguinis, 1992, 62: 12-20, Karger AG, Basel, Switzerland	
	2	Copy of PCT International Search Report and Written Opinion Date of Mailing: May 19, 2005-Intl Application No: PCT/US04/41278	
	1		
Examiner		Date	

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